



CAZ INVESTMENTS

Access to Innovative Investing

FACTS	WHAT DOES ADVISER CAZ INVESTMENTS LP (“CAZ”) DO WITH YOUR PERSONAL INFORMATION?	
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social security number ▪ Income ▪ Assets ▪ Risk tolerance ▪ Wire transfer instructions ▪ Transaction history 	
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons CAZ chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does CAZ Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	Yes	No
For our marketing purposes - to offer our products and services to you	Yes	No
For our affiliates' everyday business purposes - information about your transactions and experiences	Yes	No
For joint marketing with other financial companies	No	We don’t share



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For our affiliates' everyday business purposes – information about your creditworthiness	No	We don't share
For non-affiliates to market to you	No	We don't share
Who we are		
Who is providing this notice?	CAZ Investments LP and its affiliated Private Funds	
What we do		
How does CAZ protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.	
How does CAZ collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Enter into an investment advisory contract ▪ Seek financial advice ▪ Tell us about your investment or retirement portfolio 	
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>	
Questions?	Contact us at help@cazinvestments.com or 713-403-8250	
Definitions		
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.	



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	<ul style="list-style-type: none">▪ <i>Our affiliates include private funds for whom we act as an investment adviser, their general partners, and entities with which we share common control</i>
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none">▪ <i>CAZ does not share with non-affiliates so they can market to you.</i>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none">▪ <i>CAZ does not jointly market.</i>